# Lecture 7: Anti-windup and friction compensation

- Compensation for saturations (anti-windup)
- Friction models
- Friction compensation

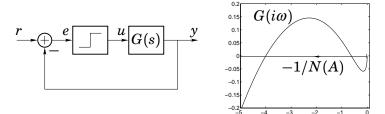
#### **Material**

Lecture slides

## **Course Outline**

Lecture 1-3	Modelling and basic phenomena (linearization, phase plane, limit cycles)
Lecture 2-6	Analysis methods (Lyapunov, circle criterion, describing functions)
Lecture 7-8	Common nonlinearities (Saturation, friction, backlash, quantization)
Lecture 9-13	Design methods (Lyapunov methods, Backstepping, Optimal control)
Lecture 14	Summary

## Last lecture: Stable periodic solution

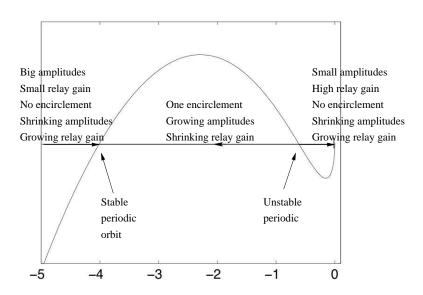


$$G(s) = \frac{(s+10)^2}{(s+1)^3}$$
 with feedback  $u = -\operatorname{sgn} y$ 

gives one stable and one unstable limit cycle. The left most intersection corresponds to the stable one.

## Periodic Solutions in Relay System

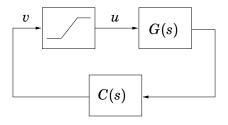
The relay gain N(A) is higher for small A:



## Today's Goal

- To be able to design and analyze antiwindup schemes for
  - ► PID
  - state-space systems
  - and Kalman filters (observers)
- To understand common models of friction
- To design and analyze friction compensation schemes

## Windup – The Problem



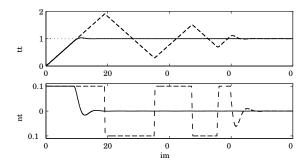
The feedback path is broken when u saturates

The controller C(s) is a dynamic system

Problems when controller is unstable (or stable but not AS)

Example: I-part in PID-controller

## **Example-Windup in PID Controller**

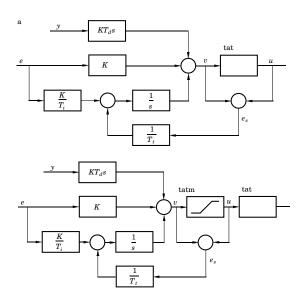


Dashed line: ordinary PID-controller

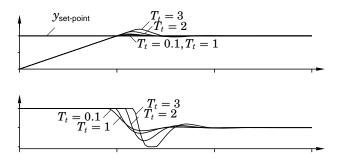
Solid line: PID-controller with anti-windup

## **Anti-windup for PID-Controller ("Tracking")**

Anti-windup (a) with actuator output available and (b) without



## Choice of Tracking Time $T_t$

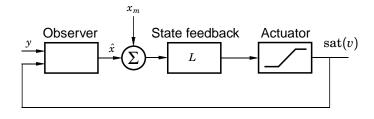


With very small  $T_t$  (large gain  $1/T_t$ ), spurious errors can saturate the output, which leads to accidental reset of the integrator. Too large  $T_t$  gives too slow reaction (little effect).

The tracking time  $T_t$  is the design parameter of the anti-windup.

Common choices:  $T_t = T_i$  or  $T_t = \sqrt{T_i T_d}$ .

### State feedback with Observer



$$\dot{\hat{x}} = A\hat{x} + B \operatorname{sat}(v) + K(y - C\hat{x})$$

$$v = L(x_m - \hat{x})$$

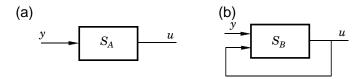
 $\hat{x}$  is estimate of process state,  $x_m$  desired (model) state. Need model of saturation if sat(v) is not measurable

## **Antiwindup – General State-Space Controller**

State-space controller:

$$\dot{x}_c(t) = Fx_c(t) + Gy(t) 
u(t) = Cx_c(t) + Dy(t)$$

Windup possible if F is unstable and u saturates.



Idea:

Rewrite representation of control law from (a) to (b) such that:

- (a) and (b) have same input-output relation
- (b) behaves better when feedback loop is broken, if  $S_B$  stable

## **Antiwindup – General State-Space Controller**

Mimic the observer-based controller:

$$\dot{x}_c = Fx_c + Gy + K \underbrace{(u - Cx_c - Dy)}_{=0}$$

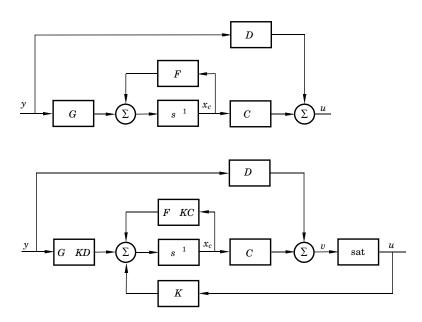
$$= (F - KC)x_c + (G - KD)y + Ku$$

$$= F_0x_c + G_0y + Ku$$

Design so that  $F_0 = F - KC$  has desired stable eigenvalues Then use controller

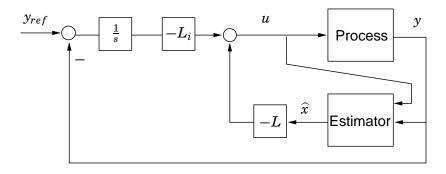
$$\dot{x}_c = F_0 x_c + G_0 y + K u 
u = \text{sat} (C x_c + D y)$$

### State-space controller without and with anti-windup:



### **5 Minute Exercise**

How would you do antiwindup for the following state-feedback controller with observer and integral action?



### **Saturation**

Optimal control theory (later)

### Multi-loop Anti-windup (Cascaded systems):

Difficult problem, several suggested solutions

Turn off integrator in outer loop when inner loop saturates

### **Friction**

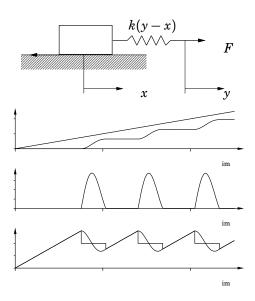
### Present almost everywhere

- Often bad
  - ▶ Friction in valves and mechanical constructions
- Sometimes good
  - Friction in brakes
- Sometimes too small
  - Earthquakes

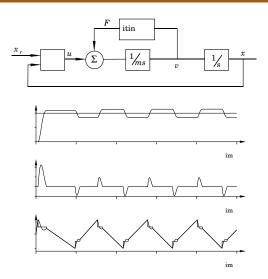
#### **Problems**

- How to model friction
- How to compensate for friction

# **Stick-slip Motion**



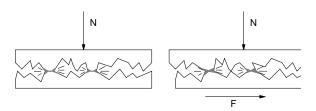
## **Position Control of Servo with Friction – Hunting**

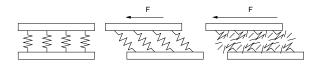


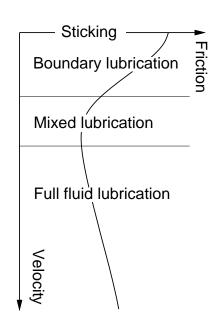
### 3 Minute Exercise

What are the signals in the previous plots? What model of friction has been used in the simulation?

## **Friction**

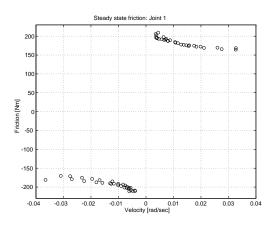






### Stribeck Effect

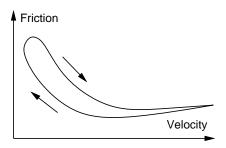
For low velocity: friction increases with decreasing velocity Stribeck (1902)



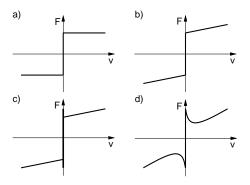
## **Frictional Lag**

Dynamics are important also outside sticking regime Hess and Soom (1990)

Experiment with unidirectional motion  $v(t) = v_0 + a \sin(\omega t)$ Hysteresis effect!



### **Classical Friction Models**



c) 
$$F(t) = \begin{cases} F_c \text{ sign } v(t) + F_v v(t) & v(t) \neq 0 \\ \max(\min(F_e(t), F_s), -F_s) & v(t) = 0 \end{cases}$$
 
$$F_e(t) = \text{ external applied force }, F_c, F_v, F_s \text{ constants}$$

### **Advanced Friction Models**

### See PhD-thesis by Henrik Olsson

- Karnopp model
- Armstrong's seven parameter model
- Dahl model
- Bristle model
- Reset integrator model
- Bliman and Sorine
- Wit-Olsson-Åström

### **Demands on a model**

To be useful for control the model should be

- sufficiently accurate,
- suitable for simulation,
- simple, few parameters to determine.
- physical interpretations, insight

Pick the simplest model that does the job! If no stiction occurs the v=0-models are not needed.

## **Friction Compensation**

- Lubrication
- Integral action (beware!)
- Dither
- Non-model based control
- Model based friction compensation
- Adaptive friction compensation

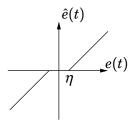
## **Integral Action**

- The integral action compensates for any external disturbance
- Good if friction force changes slowly ( $v \approx \text{constant}$ ).
- ullet To get fast action when friction changes one must use much integral action (small  $T_i$ )
- Gives phase lag, may cause stability problems etc

## **Deadzone - Modified Integral Action**

Modify integral part to  $I = \frac{K}{T_i} \int_0^t \hat{e}(t) d au$ 

where input to integrator 
$$\hat{e} = \left\{ \begin{array}{ll} e(t) - \eta & e(t) > \eta \\ 0 & |e(t)| < \eta \\ e(t) + \eta & e(t) < -\eta \end{array} \right.$$

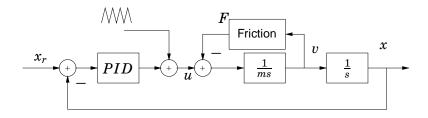


Advantage: Avoid that small static error introduces limit cycle

Disadvantage: Must accept small error (will not go to zero)

### **Mechanical Vibrator–Dither**

Avoids sticking at v=0 where there usually is high friction by adding high-frequency mechanical vibration (dither )

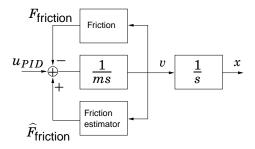


Cf., mechanical maze puzzle (labyrintspel)



## **Adaptive Friction Compensation**

Coulomb Friction  $F = a \operatorname{sgn}(v)$ 



Assumption: v measurable.

Friction estimator:

$$\dot{z} = ku_{PID}\operatorname{sgn}(v)$$
 $\hat{a} = z - km|v|$ 
 $\hat{F}_{\mathsf{friction}} = \hat{a}\operatorname{sgn}(v)$ 

Result:  $e = a - \hat{a} \rightarrow 0$  as  $t \rightarrow \infty$ ,

$$\frac{de}{dt} = -\frac{d\hat{a}}{dt} = -\frac{dz}{dt} + km\frac{d}{dt}|v|$$

$$= -ku_{PID}\operatorname{sgn}(v) + km\dot{v}\operatorname{sgn}(v)$$

$$= -k\operatorname{sgn}(v)(u_{PID} - m\dot{v})$$

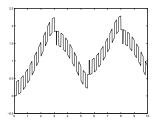
$$= -k\operatorname{sgn}(v)(F - \hat{F})$$

$$= -k(a - \hat{a})$$

$$= -ke$$

Remark: Careful with  $\frac{d}{dt}|v|$  at v=0.

The Knocker
Combines Coulomb compensation and square wave dither



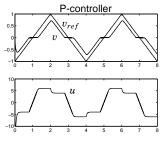
Tore Hägglund, Innovation Cup winner + patent 1997

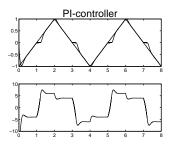
## **Example–Friction Compensation**

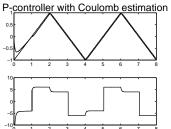
#### Velocity control with

- a) P-controller
- b) PI-controller
- c) P + Coulomb estimation

## Results







## **Next Lecture**

- Backlash
- Quantization